

CharlesRiver
A State Street Company | Wealth Management Solution

Charles River Wealth Hub

Consolidating Manager/Sponsor Communication on a Single Platform

Communication between asset management firms and wealth managers and sponsors can be challenging. With few integrated, industry-standard communication platforms, industry participants find themselves saddled with numerous systems and multiple workflows for communicating model updates, trades/allocations, and intra-day account instructions.

The **Charles River Wealth Hub** is a multi-tenant, API driven, cloud-based communication platform built specifically for separate account managers to streamline sponsor communications across their business. Rather than utilizing multiple systems and interfaces to operate their separate account strategies, managers can access one platform for all communications with their distribution partners.

Supporting Communication, Distribution, & Operations



Sponsors

- ✔ Scalable access to current and new managers
- ✔ Consolidates communication on a single platform
- ✔ Data encrypted in transit and at rest
- ✔ Automated workflows
- ✔ Open architecture and API enabled (Native connectivity to Charles River IMS)

Asset Managers

- ✔ Enables Distribution
- ✔ Streamlines Operations
- ✔ Model Version Control
- ✔ Supports rotation compliance

Key Capabilities & Benefits:

- ✔ Enables automated and streamlined communication between managers and sponsors
- ✔ Consolidated communication dashboards
- ✔ Secure, single click distribution of model updates to all applicable sponsors
- ✔ Can replace email exchanges and provides more reliable, secure and auditable transmission of data
- ✔ Helps eliminate low-value, error-prone manual workflows
- ✔ Supports model and discretionary managers with account requests, order workflows, document transfer and receiving daily transactions, positions and tax lot data from sponsors

Charles River Wealth Hub Features

Available as part of Charles River's enterprise wealth platform, the Charles River Wealth Hub helps connect asset managers and sponsors to support critical operational interactions

MODEL MANAGEMENT facilitates the creation, maintenance, administration, and distribution of models along with support of model history, versioning and comparisons, and simultaneous publishing of models to multiple sponsors

ORDER/EXECUTED ALLOCATION HANDLING enables communication of open orders and executed allocations to sponsors with an order and allocation dashboard to review status changes and execution information

INSTRUCTIONS HANDLING provides support for cash invest/raise, harvest, security transfers, client restrictions, trade halt/resume, account opening/ termination requests and request retrieval and status updates, as well as custom & sponsor-specific requests

BEGINNING OF DAY (BOD) Transactions/Positions/ Tax Lots supports receipt of reconciled BOD positions, tax lots and transactions from sponsors to support shadow accounting system maintenance and operations

DOCUMENT MANAGEMENT allows for two-way end to end encrypted document transfer between sponsors and managers

RELATIONSHIP MANAGEMENT ADMINISTRATION Provides an overview of all Sponsor–AM relationships, reviews the existing model subscription setup

USER MANAGEMENT TOOLS create and maintain users, reset passwords, assign, modify, or revoke user entitlements

Discover the difference with Charles River. Schedule your discussion today.

Charles River Development, A State Street Company

Investment and wealth managers, asset owners and insurers in ~30 countries rely on Charles River IMS to manage USD $59 Trillion in assets. Together with State Street's middle and back office services, Charles River's cloud-based front office technology forms the foundation of State Street Alpha®.

Charles River IMS helps automate and simplify the investment process across asset classes, from portfolio management and risk analytics through trading and post-trade settlement, with integrated compliance and managed data throughout. Charles River for Private Markets helps solve complex data challenges for investors in private credit, real estate, private equity, and infrastructure. Charles River's partner ecosystem enables clients to access the data, analytics, application and liquidity providers that support their product and asset class mix. With more than 183% increase in headcount over the last 8 years, Charles River serves clients globally offering 24/7 support. To learn more visit **www.crd.com**.

**Statistics as of Q1 2026. Assets are inclusive of clients using the platform for purposes of secondary compliance.*

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