



Charles River Order and Execution Management System

The OEMS traders at top firms trust to manage global multi-asset trading desks and achieve best execution.

Charles River helps traders easily execute across asset classes quickly, accurately and transparently. Charles River Trader is the all-in-one Order and Execution Management System (**OEMS**) at the center of our enterprise platform that empowers multi-asset trading desks to efficiently achieve best execution and high-quality trading outcomes. Our technology provides confidence, control and speed, so ground is not lost in a constantly changing marketplace.

High Powered Trading. All-In-One, Multi-Asset OEMS

Wasting time scanning the market for liquidity and completing manual workflows is stressful. Streamline an inefficient process and equip traders to re-direct focus from logistical hurdles to high priority trades. With everything on an all-in-one OEMS that covers a wide variety of asset classes, traders focus on execution.

Images for illustrative purpose only; no live data being used.

A Singular Trading Experience

Combining a rich OMS with a full EMS provides a unique trading experience.

- ✓ Reduce swivel-chair by incorporating more market data and liquidity information on a single pane of glass
- ✓ Streamline price discovery and vendor connectivity
- ✓ Maintain multi-day order lifecycle
- ✓ Better broker selection

Order creation through execution in one tool with fully managed FIX connectivity.

Trade Without Boundaries on an Open Solution

Tune workflows as wanted, including trade automation.

- ✓ Easily route low touch orders
- ✓ Make informed routing decisions based on broad trade data
- ✓ Optimize workflows through dashboard personalization
- ✓ Quickly integrate new partners through an open architecture

An OEMS with agility and ability.

All Assets. One OEMS. One Platform.

Separate systems break. That's why we built a single, multi-asset OEMS and put it at the center of our investment management platform that combines:

- ✓ Portfolio management system
- ✓ Performance & risk analytics
- ✓ Compliance
- ✓ IBOR
- ✓ Post-trade management
- ✓ Data management

Execute easier, stay compliant & stay connected.

Seamless trading experience with combined order & execution

Disjointed systems impact results. They break, causing headaches, and worse, losses. Streamline trading with in-depth order and execution management capabilities that sit at the center of our enterprise investment management solution, the Charles River IMS. Spend less time sourcing liquidity and chasing information. Spend more time executing and getting results.



Discover the difference with Charles River. Schedule your demo today.



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Order Lifecycle Management

- ✓ Order Construction & Handling
- ✓ Crossing & internal transfers
- ✓ Program & list management
- ✓ Leverage pre-trade analytics
- ✓ Multi-day order series tracking
- ✓ Manage broker commissions & fees
- ✓ View & manage broker eligibility
- ✓ Live chat to sync with portfolio managers
- ✓ Full audit trail through post-trade matching

Advanced Allocation Processing

- ✓ Rule Driven allocation for unique asset class needs
- ✓ Straight through processing as part of an enterprise platform
- ✓ Accuracy, automation and account-level allocation

Electronic Liquidity Access

- ✓ Fully managed FIX network to connect to dealers, algos & dark pools
- ✓ Integrations to trading venues & open architecture to add new ones

Inventory Aggregation & Market Data

- ✓ Connect & aggregate market data
- ✓ Price discovery
- ✓ In-trade analytics & real-time benchmarking
- ✓ New issues & inventory hub

Trade Automation & Broker Wheel

- ✓ Automate no touch trades & focus on high priority orders
- ✓ Leverage a configurable broker wheel

MiFID II & Regulatory Reporting

- ✓ MiFID II reporting infrastructure
- ✓ Capturing and reporting trade & transaction reports

Transaction Cost Analysis

- ✓ Enable lower execution costs and validate best execution
- ✓ Versatile measurements across security types



300

Approximately 300 investment managers globally trust Charles River for their trading and investment management.

2X

A leading US Asset Manager doubled its AUM by acquiring and consolidating desks onto Charles River, determining Charles River EMS capabilities are at parity with standalone EMS, but as part of full investment management platform.

40%

Fixed Income & Alternative investment manager increased efficiency and eliminated manual workflows by automating 40% of FX trade tickets on Charles River.



Discover the difference with Charles River. Schedule your demo today.

Charles River Development, A State Street Company

Investment and wealth managers, asset owners and insurers in ~30 countries rely on Charles River IMS to manage USD \$59 Trillion in assets. Together with State Street's middle and back office services, Charles River's cloud-based front office technology forms the foundation of State Street Alpha®.

Charles River IMS helps automate and simplify the investment process across asset classes, from portfolio management and risk analytics through trading and post-trade settlement, with integrated compliance and managed data throughout. Charles River for Private Markets helps solve complex data challenges for investors in private credit, real estate, private equity, and infrastructure. Charles River's partner ecosystem enables clients to access the data, analytics, application and liquidity providers that support their product and asset class mix. With more than 195% increase in headcount over the last 8 years, Charles River serves clients globally offering 24/7 support. To learn more visit www.crd.com.

**Statistics as of Q3 2025. Assets are inclusive of clients using the platform for purposes of secondary compliance.*

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