

# Charles River Reconciliation

Reconciliation is a critical function to ensure accuracy of positions, tax lots, and transactions within the Investment Book of Record (IBOR). As global regulatory requirements increase, and higher transaction volumes are processed, there is greater pressure to streamline and automate the reconciliation process to improve efficiency and transparency.

Charles River's Reconciliation solution, provided as part of our Investment Book of Record (IBOR) offering, helps ensure accurate reconciliation of positions against accounting systems, custodians and fund administrators, thereby supporting investment managers' ability to make informed decisions and providing the confidence they need to trade from a correct position.

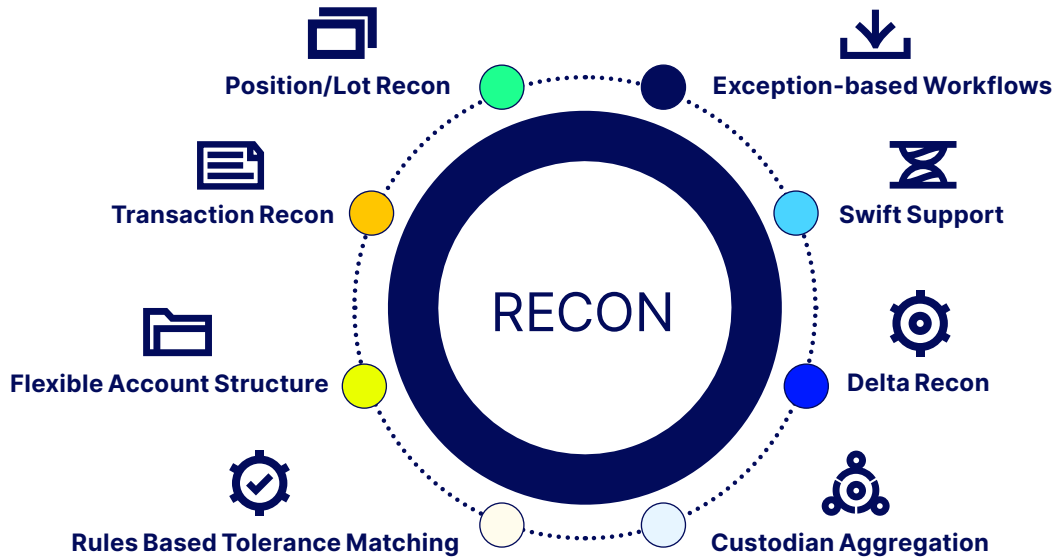


## Automated and Flexible Workflows

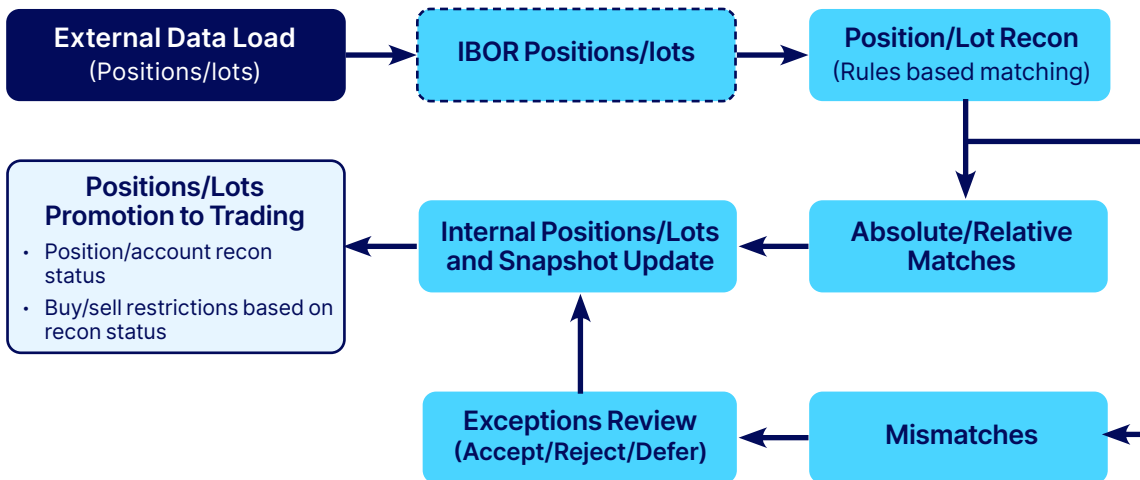
The Reconciliation workflow is highly automated, making it easy to build flexible, manager-defined matching rules for exception-based workflows.

- Managers determine the scope of each reconciliation to prepare for next-day trading in different regions
- Reconcile positions, tax lots, and transactions against multiple data sources
- Support for multi-sleeve accounts against data sources that are not sleeve-aware
- Determine matching rules with user-defined tolerances
- Exception-based management of breaks allowing users to view reconciliation breaks between book of record data to dataset(s) from other source(s)
- Take action on exceptions such as accepting, rejecting, deferring, or breaking the matched reconciled result record(s)
- Delta reconciliation allows for the reconciliation of only the positions and tax lots that have changed since the last reconciliation date
- Sleeve routing to enable reconciliation records imported at the UMA/SMA level to be routed to designated sleeves

# Reconciliation Capabilities



# Reconciliation Workflow



# About Charles River Development, A State Street Company

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\*Statistics as of Q3 2024. Assets are inclusive of clients using the platform for purposes of secondary compliance.

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