

# Charles River Everywhere



Charles River Everywhere helps investment professionals manage and monitor portfolios in real time. The application provides professionals with a consistent digital experience across devices. Increased mobility helps professionals be more productive, minimizing lost opportunities for engagement with prospects. Portfolio managers can monitor portfolio holdings, track asset allocation exposures, and see the latest trade information. Other professionals may view alerts and take appropriate action, including responding to new compliance issues.

Charles River Everywhere also allows financial advisors to make in-person client meetings more interactive with graphical reporting and real-time portfolio information. The application provides users with a consistent digital experience across devices, and provides a choice of dark and light color themes.



Screenshots are for informative purposes only; no live data being used.



## Real-Time Portfolio Management

Firms can more effectively manage multi-asset portfolios with Charles River Everywhere:

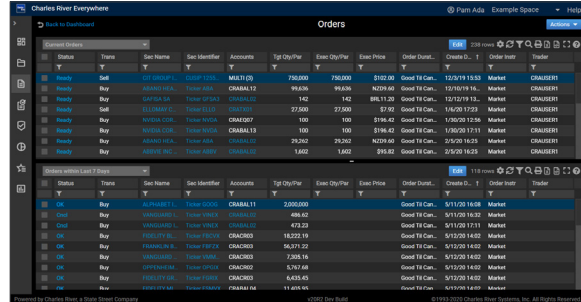
- Monitor portfolio events such as cash deposits, portfolio drift, and account openings via notification dashboards
- Create/manage asset allocation or security models
- Utilize suitability attributes to help ensure that models are appropriate for client risk profiles
- Assess exposure in categories such as asset classes, sectors and currencies
- View top 10 holdings based on real-time trade and valuation data
- Create orders and track them through execution
- View account information, including model, benchmark, portfolio, and manager data
- Filter accounts to view specific security holdings and identify exceptions such as portfolio drift



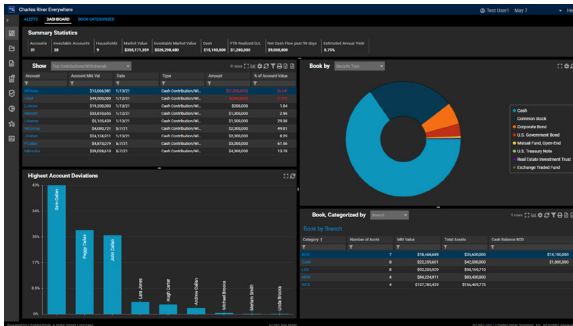
# Trading and Order Management

## Actively manage the entire order life cycle:

- Create orders and modify order details
- View and modify order details and allocations
- Filter displayed orders using pre-configured queries
- Analyze estimated gain/loss impact of proposed orders across multiple accounts before placing order
- Change layouts for different user roles



# Book of Business Dashboard



## View a summary of entire book of business:

- Quickly assess and address areas requiring attention
- Provides capabilities for personalization
- Helps increase efficiency and productivity

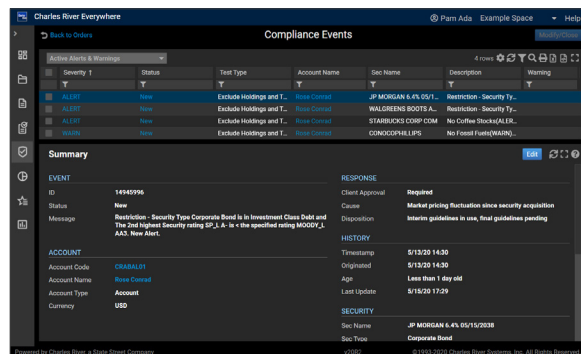


# Compliance Dashboard

Centralized pre-trade compliance and risk monitoring helps advisors meet client objectives and guidelines.

## Through the dashboard, advisors can:

- View a list of all violations
- Access which rules were violated
- Address compliance issues



Screenshots are for informative purposes only; no live data being used.

# Charles River Development, A State Street Company

Investment firms, asset owners, wealth managers, hedge funds and insurers in more than 30 countries rely on Charles River's front and middle office investment management platform to manage more than US\$30 Trillion in assets. Together with State Street's middle and back office capabilities, Charles River's cloud-deployed software technology forms the foundation of State Street Alpha<sup>SM</sup>. The Charles River Investment Management Solution (Charles River IMS) is designed to automate and simplify the institutional investment process across asset classes, from portfolio management and risk analytics through trading and post-trade settlement, with integrated compliance and managed data throughout. Charles River's growing partner ecosystem enables clients to seamlessly access external data and analytics, applications and liquidity venues that support the demands of their product and asset class mix. Headquartered in Burlington, Massachusetts, we serve clients globally with more than 1,000 employees in 11 regional offices. (Statistics as of Q1 2021)

Learn more at [crd.com/everywhere](https://crd.com/everywhere)

Charles River Development - A State Street Company is a wholly owned business of State Street Corporation (incorporated in Massachusetts).

This document and information herein (together, the "Content") is subject to change without notice based on market and other conditions and may not reflect the views of State Street Corporation and its subsidiaries and affiliates ("State Street"). The Content is provided only for general informational, illustrative, and/or marketing purposes, or in connection with exploratory conversations; it does not take into account any client or prospects particular investment or other financial objectives or strategies, nor any client's legal, regulatory, tax or accounting status, nor does it purport to be comprehensive or intended to replace the exercise of a client or prospects own careful independent review regarding any corresponding investment or other financial decision. The Content does not constitute investment research or legal, regulatory, investment, tax or accounting advice and is not an offer or solicitation to buy or sell securities or any other product, nor is it intended to constitute any binding contractual arrangement or commitment by State Street of any kind. The Content provided was prepared and obtained from sources believed to be reliable at the time of preparation, however it is provided "as-is" and State Street makes no guarantee, representation, or warranty of any kind including, without limitation, as to its accuracy, suitability, timeliness, merchantability, fitness for a particular purpose, non-infringement of third-party rights, or otherwise. State Street disclaims all liability, whether arising in contract, tort or otherwise, for any claims, losses, liabilities, damages (including direct, indirect, special or consequential), expenses or costs arising from or connected with the Content. The Content is not intended for retail clients or for distribution to, and may not be relied upon by, any person or entity in any jurisdiction or country where such distribution or use would be contrary to applicable law or regulation. The Content provided may contain certain statements that could be deemed forward-looking statements; any such statements or forecasted information are not guarantees or reliable indicators for future performance and actual results or developments may differ materially from those depicted or projected. Past performance is no guarantee of future results. No permission is granted to reprint, sell, copy, distribute, or modify the Content in any form or by any means without the prior written consent of State Street.

The offer or sale of any of these products and services in your jurisdiction is subject to the receipt by State Street of such internal and external approvals as it deems necessary in its sole discretion. Please contact your sales representative for further information. State Street may from time to time, as principal or agent, for its own account or for those of its clients, have positions in and/or actively trade in financial instruments or other products identical to or economically related to those discussed in this communication. State Street may have a commercial relationship with issuers of financial instruments or other products discussed in this communication.

©2021 STATE STREET CORPORATION