# Charles River Everywhere

Charles River

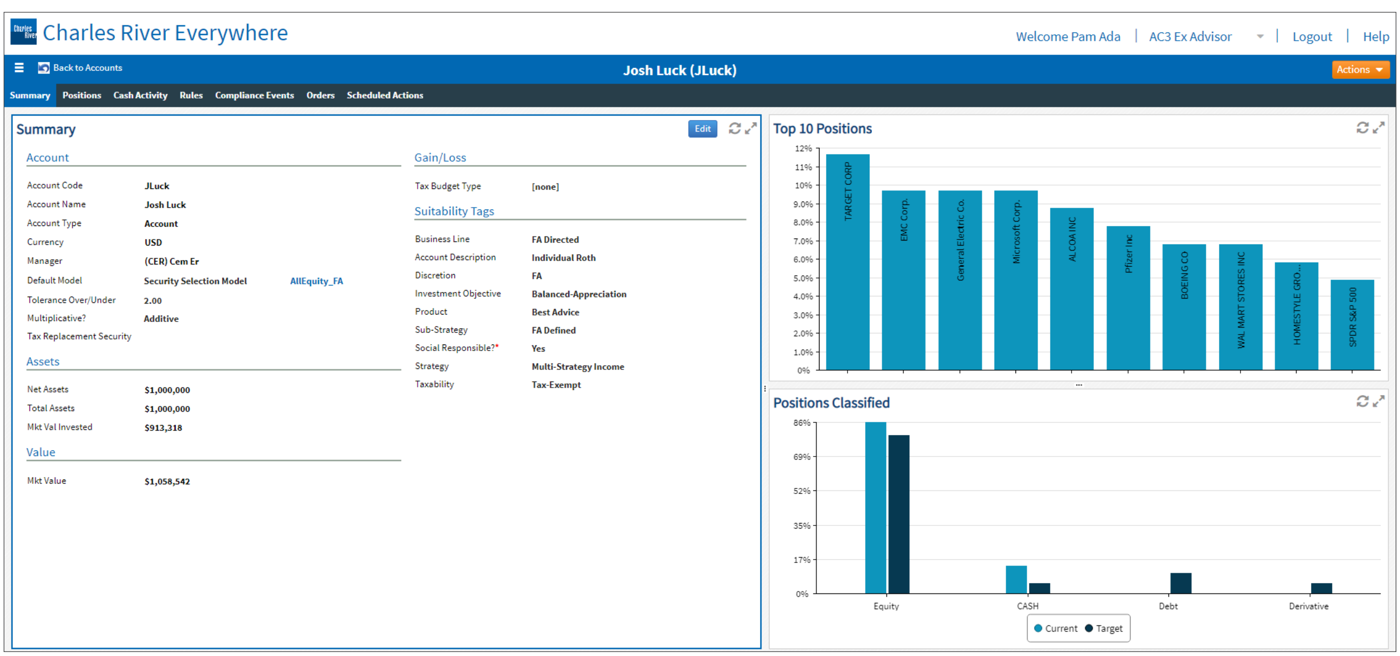
A State Street Company

Charles River Everywhere helps investment professionals manage and monitor portfolios in real time. The application provides professionals with a consistent digital experience across devices. Portfolio managers can monitor portfolio holdings, track asset allocation exposures, and see the latest trade information. Other professionals may view alerts and take appropriate action, including responding to new compliance issues. Charles River Everywhere also allows financial advisors to make in-person client meetings more interactive with graphical reporting and real-time portfolio information.

## Real-Time Portfolio Management

Firms can more effectively manage multi-asset portfolios with Charles River Everywhere:

- Monitor portfolio events such as cash deposits, portfolio drift, and account openings via notification dashboards
- Create/manage asset allocation or security models
- Utilize suitability attributes to ensure that models are appropriate for client risk profiles
- Assess exposure in categories such as asset classes, sectors and currencies
- View top or bottom 10 holdings based on real-time trade and valuation data
- Create orders and track them through execution
- View account information, including model, benchmark, portfolio, and manager data
- Filter accounts to view specific security holdings and identify exceptions such as portfolio drift
- Review the effect of proposed orders on a portfolio's exposure profile in real time
- Schedule recurring invest/raise cash events
- Monitor compliance alerts, warnings and contributing factors, and resolve compliance issues

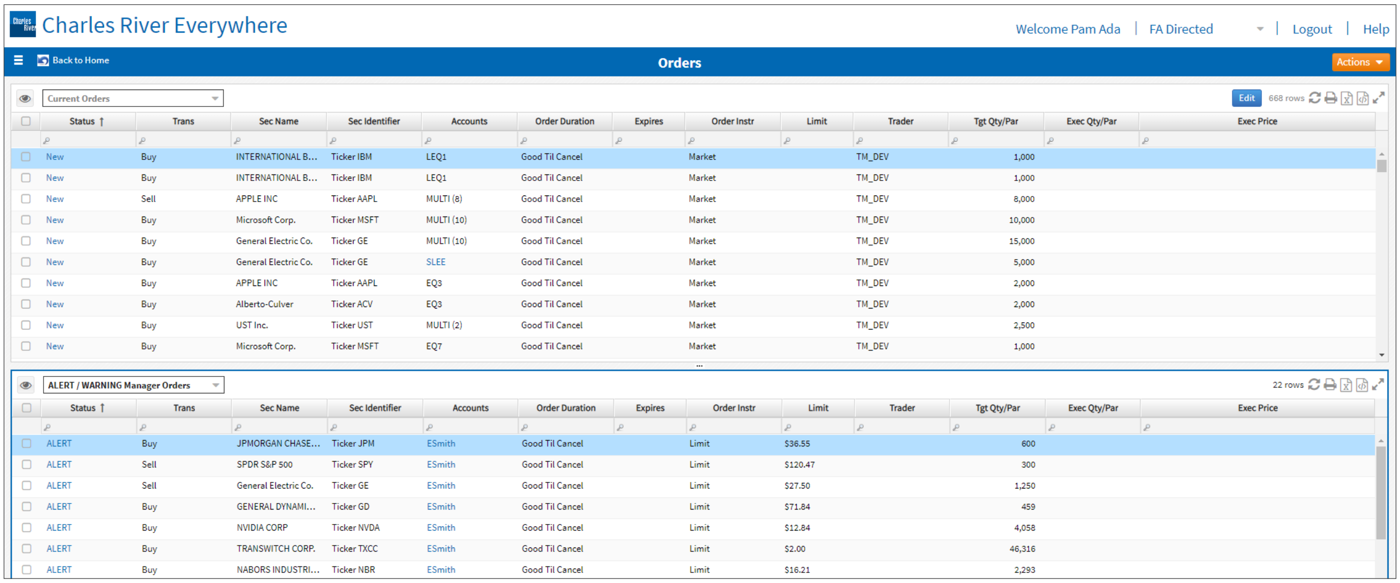



Screenshots are for informative purposes only; no live data being used.

# Trading and Order Management

Actively manage the entire order life cycle:

- Create orders and modify order details
- View and modify order details and allocations
- Filter displayed orders using pre-configured queries
- Analyze estimated gain/loss impact of proposed orders across multiple accounts before placing order
- Change layouts for different user roles

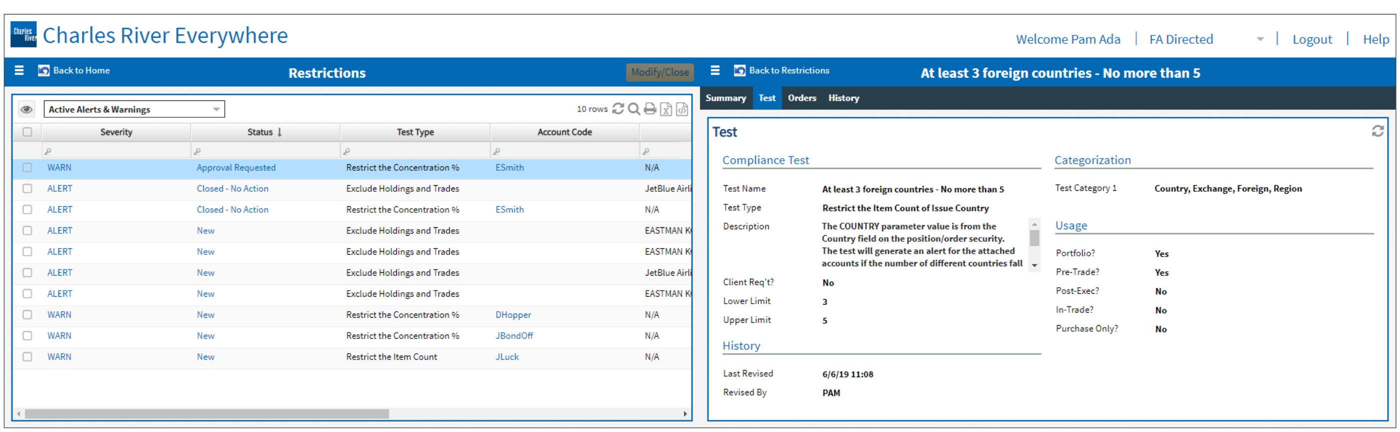



# Compliance Dashboard

Centralized pre-trade compliance and risk monitoring helps advisors meet client objectives and guidelines. Through the dashboard, advisors can:

- View a list of all violations
- Access which rules were violated
- Address compliance issues

Charles River Everywhere empowers investment management professionals with the tools and information to engage with and provide a high level of service to their clients. The ability to quickly access key account information improves high-touch client relationships. Increased mobility makes professionals more productive and minimizes lost opportunities for engagement with prospects. Finally, an intuitive dashboard makes it easy to manage accounts, orders, trades, and alerts when away from the office.

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## ABOUT CHARLES RIVER

**Charles River enables sound and efficient investing across all asset classes. Investment firms in more than 40 countries use Charles River IMS to manage more than US$25 Trillion in assets as of April 2019 in the institutional investment, wealth management and hedge fund industries. Our Software as a Service-based solution (SaaS) is designed to automate and simplify investment management on a single platform – from portfolio management and risk analytics through trading and post-trade settlement, with integrated compliance and managed data throughout. Headquartered in Burlington, Massachusetts, we support clients globally with more than 750 employees in 11 regional offices.**