

# Charles River Everywhere

Charles River Everywhere helps investment professionals manage and monitor portfolios in real time. The application provides professionals with a consistent digital experience across devices. Increased mobility helps professionals be more productive, minimizing lost opportunities for engagement with prospects. Portfolio managers can monitor portfolio holdings, track asset allocation exposures, and see the latest trade information. Other professionals may view alerts and take appropriate action, including responding to new compliance issues. Charles River Everywhere also allows financial advisors to make in-person client meetings more interactive with graphical reporting and real-time portfolio information. The application provides users with a consistent digital experience across devices, and provides a choice of dark and light color themes.



## Real-Time Portfolio Management

Firms can more effectively manage multi-asset portfolios with Charles River Everywhere:

- Monitor portfolio events such as cash deposits, portfolio drift, and account openings via notification dashboards
- Create/manage asset allocation or security models
- Utilize suitability attributes to ensure that models are appropriate for client risk profiles
- Assess exposure in categories such as asset classes, sectors and currencies
- View top or bottom 10 holdings based on real-time trade and valuation data
- Create orders and track them through execution
- View account information, including model, benchmark, portfolio, and manager data
- Filter accounts to view specific security holdings and identify exceptions such as portfolio drift
- Review the effect of proposed orders on a portfolio's exposure profile in real time
- Schedule recurring invest/raise cash events
- Monitor compliance alerts, warnings and contributing factors, and resolve compliance issues

**Charles River Everywhere** Pam Ada Example Space Help

**Laura Harvey (LHarvey)**

Summary POSITIONS CASH ACTIVITY RESTRICTIONS COMPLIANCE REQUESTS ORDERS SCHEDULED ACTIONS

**Summary** Edit

**ACCOUNT**

Account Code	LHarvey
Account Name	Laura Harvey
Account Type	SMA (Internally Managed)
Currency	USD
Manager	(PAM) Pam Ada
Model	Security Selection Model SMA_INCM_CASH
Settle Date Adjustment	Adjust Buys Only

**REPLACEMENT SECURITY INFO**

Replacement Securities	AGILENT TECHNOLOGIE...	100.0%
Substitute Replacement Security	NETFLIX INC	

**ASSETS**

Net Assets	\$2,300,000
Total Assets	\$2,200,000
Mkt Val Invested	\$361,804

**VALUE**

Mkt Value	\$2,121,076
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**Positions Classified by Asset Allocation**

Bar chart showing Current (blue) and Target (grey) asset allocation for CASH and Equity.

Asset Class	Current (%)	Target (%)
CASH	~72%	~18%
Equity	~18%	~72%

**Trading Parameters** Edit

**ORDER GENERATION PARAMETERS**

Allow Buy	Yes	
Allow Sell	Yes	
Exclude from Rebalance	Don't Exclude	
Restricted Securities Remaind...	Leave as Cash	
Cash Reserve	Reserve a Percentage	10.00

**TAXABILITY**

Gain/Loss Sensitive	Yes
Tax Lot Sell Convention	Last In, First Out Trade Date

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# Trading and Order Management

Actively manage the entire order life cycle:

- Create orders and modify order details
- View and modify order details and allocations
- Filter displayed orders using pre-configured queries
- Analyze estimated gain/loss impact of proposed orders across multiple accounts before placing order
- Change layouts for different user roles

Status	Trans	Sec Name	Sec Identifier	Accounts	Tgt Qty/Par	Exec Qty/Par	Exec Price	Order Durst.	Expires	Create D.	Order Stat	Limit	Trader
Ready	Sell	QIT GROUP L	QUSP 1155	MULTI (B)	750,000	750,000	\$102.00	Good Till Can...		12/2/19 15:59	Market		CRUISER1
Ready	Buy	ABAND HEA	TKER ABA	CRABAL12	99,636	99,636	NZ29.60	Good Till Can...		12/10/19 16:...	Market		CRUISER1
Ready	Buy	GAFKA SA	TKER OFSA	CRABAL02	142	142	BR 11.20	Good Till Can...		12/12/19 11:...	Market		CRUISER1
Ready	Sell	ELUMINAC	TKER ELUP	CRAB001	2,500	22,500	\$7.92	Good Till Can...		1/6/20 12:23	Market		CRUISER1
Ready	Buy	NVIDIA CO	TKER NVDA	CRAB007	100	100	\$196.42	Good Till Can...		1/20/20 12:56	Market		CRUISER1
Ready	Buy	NVIDIA CO	TKER NVDA	CRABAL13	100	100	\$196.42	Good Till Can...		1/20/20 17:11	Market		CRUISER1
Ready	Buy	ABAND HEA	TKER ABA	CRABAL02	29,262	29,262	NZ29.60	Good Till Can...		2/5/20 16:25	Market		CRUISER1
Ready	Buy	ABBY INC	TKER ABBY	CRABAL02	1,602	1,602	\$95.82	Good Till Can...		2/5/20 16:25	Market		CRUISER1

Severity	Status	Test Type	Account Name	Sec Name	Description	Warning	Alert
ALERT	New	Exclude Holdings and T...	Boke Conrad	JP MORGAN 6.4% 05/1...	Restriction - Security Ty...		
ALERT	New	Exclude Holdings and T...	Boke Conrad	WALGREENS BOOTS A...	Restriction - Security Ty...		
ALERT	New	Exclude Holdings and T...	Boke Conrad	STARBUCKS CORP COM	No Coffee Stocks(ALER...		
WARN	New	Exclude Holdings and T...	Boke Conrad	CONOCOPHILLIPS	No Fossil Fuels(WARN...		

# Compliance Dashboard

Centralized pre-trade compliance and risk monitoring helps advisors meet client objectives and guidelines. Through the dashboard, advisors can:

- View a list of all violations
- Access which rules were violated
- Address compliance issues

Information Classification: General

Screenshots are for informative purposes only; no live data being used.

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