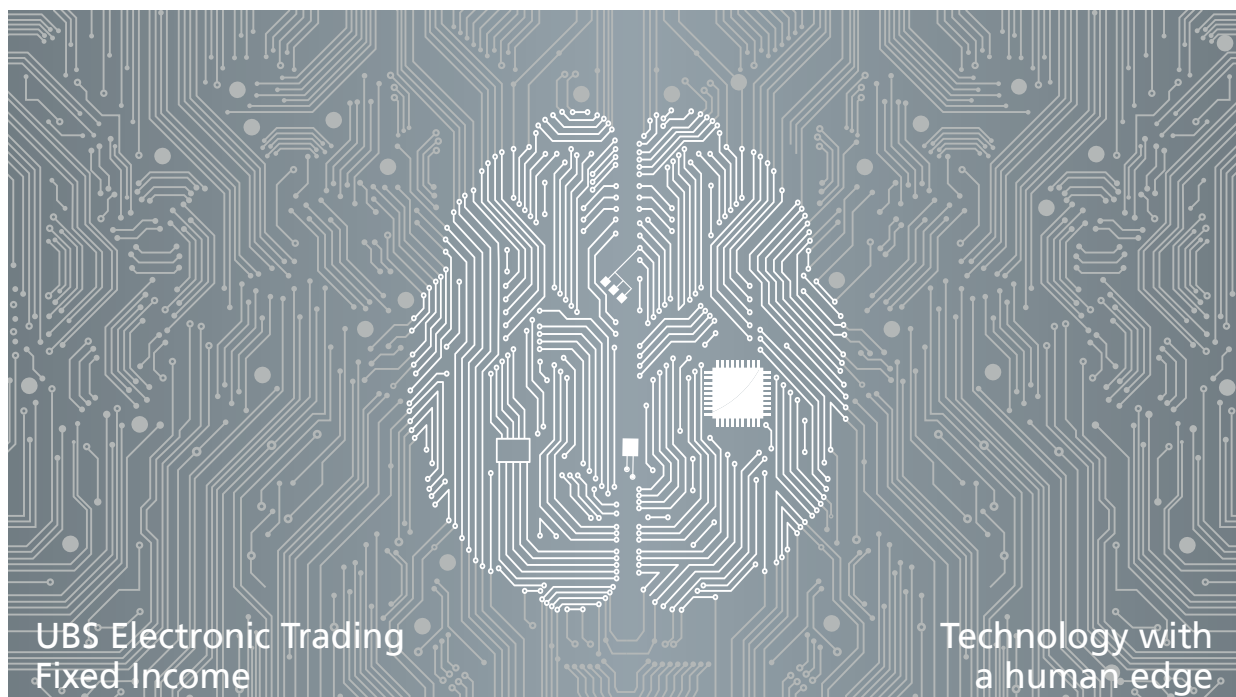


Access broader connectivity

Charles River trading with **UBS Bond Port.**



UBS Electronic Trading
Fixed Income

Technology with
a human edge

Not for further distribution.
For professional clients / institutional investors only.

Risk
Awards
2016
Winner

UBS
Fixed-income
trading platform
of the year

**Charles
River**

Trading with UBS Bond Port has arrived

UBS brings a new way to **improve execution** for US and European trades: UBS Bond Port (formerly known as UBS PIN-FI).

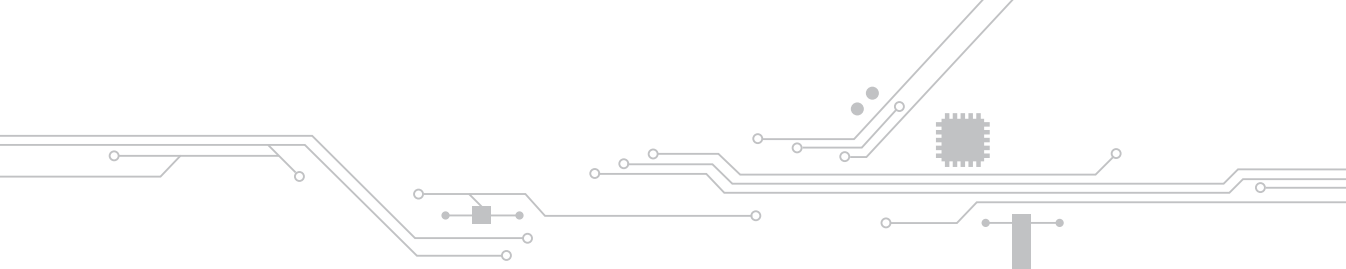
Built on the decades of experience UBS has in the Fixed Income markets, and our commitment to innovation and market efficiency, UBS Bond Port provides our clients with access to a broad and deep liquidity pool, aggregated from third-party execution venues, the UBS principal desk and, in some cases, from other UBS clients.¹ What's more, when inquiries interact with UBS Bond Port, they will be kept anonymous² and our clients have the

opportunity for price improvement by sending inquiries inside the bid/ask spread – or at the specified target level they choose.

When a client executes a trade on UBS Bond Port, the trade will be facilitated by our Execution Services team. However with their consent, we offer the opportunity for our clients to make their post-trade information visible to their UBS sales contact. UBS will be counterparty to all trades.



For illustrative purposes only



World-class technology with a human edge

UBS Bond Port is a market leading global electronic platform that allows anonymous² client execution for Credit and Rates Bonds in multiple currencies. With over 800 unique clients that are active, and approximately 1,300 trades a day on average, UBS Bond Port offers meaningful activity and liquidity.³

Our clients have the ability to leave resting inquiries on UBS Bond Port with the UBS Execution Services team or, with their consent, their usual UBS sales contact, affording them the flexibility to interact as they wish.

Minimum trade size and “All or None” functionality is also available. Manage bids and offers where you want inside the spread, providing seamless integration into current allocation processes. Our clients have full control of their inquiries, and are not limited to hitting other platforms’ bids and

offers. They have the opportunity to immediately execute or, if permitted, anonymously¹ show their price levels to the other participants in UBS Bond Port.

It’s this world-class technology and human edge that can help our clients find the liquidity they need in this fast evolving marketplace.

Since the counterparty will be UBS on all transactions, our clients’ existing allocation process will continue to be used. We support a full range of post-trade options to ensure that the completion of trades are as smooth as the order entry process.

Charles River IMS provides full trading lifecycle support that incorporates key data at the point of execution and direct connectivity to UBS Bond Port. Traders benefit from a “one click” trading experience that reduces context switching with external systems and streamlines fixed income trading workflows.



Benefits of integration:

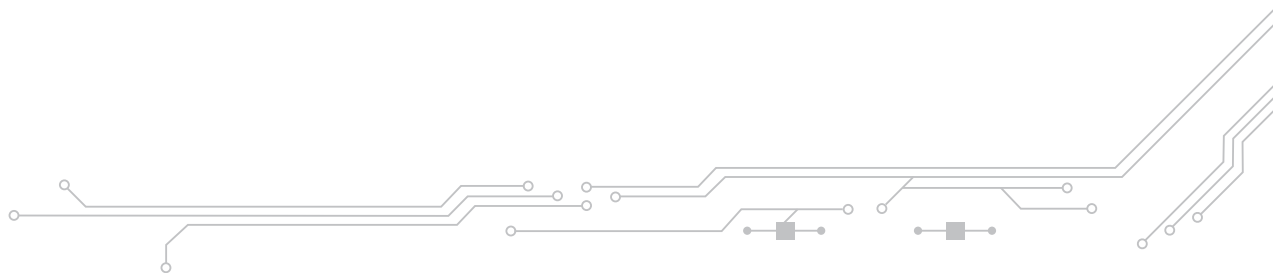
- Global fixed income cash trading
- View and execute firm prices
- Name your price
- Efficient trading process without manual intervention
- Direct FIX connectivity
- Live data integrated within Charles River trading system
- On average, approximately 1,300 trades a day
- Active with over 2,400 distinct clients globally
- UBS* is the central counterparty to all trades, so clients have no counterparty exposure

*For U.S. trading, UBS Securities LLC is the counterparty.



"Our clients asked us
to build a platform
that is customizable
and modifiable."

1. Prices input by UBS Securities LLC clients will not be displayed to other clients on the platform
2. Note your name is visible to the UBS FRC Execution Services team and the clearing and settlement teams
3. UBS data, October 2015, 800 clients on average between July 2015 and October 2015



Contact details

The Execution Services team has staff in Singapore, Zurich, London and New York.

North America

Nicolas Masso
UBS Investment Bank
1285 Avenue of the Americas
New York, 10019
United States
Tel: +1-203-719 2395
nicolas.massos@ubs.com

EMEA

Mark Russell
UBS Investment Bank
100 Liverpool Street
London, EC2M 2RH
United Kingdom
Tel: +44-207-568 7191
mark.russell@ubs.com

APAC

Dan O'Brien
UBS Investment Bank
One Raffles Quay
Singapore, 048583

Tel: + 65-64-958 626
dan.o-brien@ubs.com

www.ubs.com/bondport

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