

Charles River Wealth Hub

Consolidating Communication on a Single Platform

Due to the lack of an integrated, industry leading communication hub for exchanging critical information with sponsors in a timely manner, asset management firms find themselves saddled with numerous products and manual processes for communicating model updates, trades/allocations, and intra-day account instructions. Systems must be individually managed and updated, and many utilize older, inflexible technology. Staff spend significant time on error-prone processes and duplicative data entry in order to stay in sync with sponsors.

The Charles River Wealth Hub is a web-based, hosted, multi-tenant communication platform, purpose-built for asset managers to automate and streamline their communication with sponsors. Rather than relying on email and learning multiple user interfaces to upload information separately into each sponsor's platform, managers access a single platform for all communications, where information can be securely received from and distributed to all applicable sponsors in a single click. Available as part of Charles River's SaaS-based enterprise solution with support for non-Charles River sponsors and managers, the Charles River Wealth Hub helps asset managers with broader distribution of their products.

Benefits of Charles River Wealth Hub

- Help improve reliability and auditability with web-based communications instead of email
- Seeks to increase timeliness with intra-day notification of model changes and account instructions
- Built to save time by receiving positions and tax lots from sponsors and transmitting trades
- Seeks to increase accuracy with a single interface and automated, exception-driven workflows
- Help improve efficiency by consolidating trades across sponsors and products

Functional Highlights

Model Management Solution

- Dedicated security master
- Web-based model management solution (MMS) supporting:
 - Model creation/update directly in the user interface or via file uploads
 - Model history
 - Model versioning and version comparisons
 - Publishing of models to one or many sponsors
 - Model delivery dashboard to review the publish status of models
- Published APIs to programmatically create, update and publish models
- Built-in model rotation logic

Request Handling

- Support for cash invest/raise, harvest, account opening/termination requests
- Web-based user interface to retrieve requests and update statuses from the Hub
- Published APIs to programmatically:
 - Retrieve instructions
 - Update statuses on instructions received

Order/Executed Allocation Handling

- Support for communicating open orders and executed allocations to sponsors
- Web-based user interface to upload order and allocation files
- Order and allocation dashboard to review status changes and execution information
- Published APIs to programmatically:
 - Upload open orders and executed allocations
 - Retrieve execution information

Beginning of Day (BOD) Positions/Tax Lots and Client Restrictions

- Support for programmatically receiving reconciled BOD positions and lots from sponsors via published APIs
- Web-based user interface to retrieve client restrictions
- Support for programmatically retrieving client restrictions via published APIs

Catalog Management Tools

- Support for creating and maintaining strategies
- Web-based user interface to:
 - Create and maintain product catalogs
 - Publish available strategies
 - Accept connection requests sent by sponsors

User Management Tools

- Support for creating and maintaining users in the web-based user interface to:
 - Create and maintain users
 - Reset passwords
 - Assign, modify or revoke user entitlements
- Optional – Support for federated user management



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