Charles River Wealth Hub

Consolidating Manager/Sponsor Communication on a Single Platform

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In the wealth management industry, communication between managers and sponsors can be challenging. With few integrated, industry-standard communication platforms, industry participants find themselves saddled with numerous products and multiple workflows for communicating model updates, trades/allocations, and intra-day account instructions.

The Charles River Wealth Hub is a multi-tenant, SaaS-deployed communication platform built specifically for managers to streamline sponsor communications. Rather than relying on email and learning multiple interfaces to upload information separately into each sponsor's platform, managers access one platform for all communications, where information can be securely received from and distributed to applicable sponsors in a single click.

Key Capabilities and Benefits

- Enables automated and streamlined communication between managers and sponsors
- Consolidated communication dashboards
- Secure, single click distribution of model updates to all applicable sponsors
- Replaces email exchanges and provides more reliable, secure and auditable transmission of data
- Helps eliminate low-value, error-prone manual workflows
- Supports model management, account requests, order workflows, and receiving positions and tax lot data from sponsors

Charles River Wealth Hub Features

Available as part of Charles River's enterprise solution with support for non-Charles River managers, the Charles River Wealth Hub helps connect asset managers and sponsors.

Model Management facilitates the creation, maintenance, administration, and distribution of models along with support of model history, versioning and comparisons, and simultaneous publishing of models to multiple sponsors.

Request Handling provides support for cash invest/raise, harvest, account opening/termination requests and request retrieval and status updates.

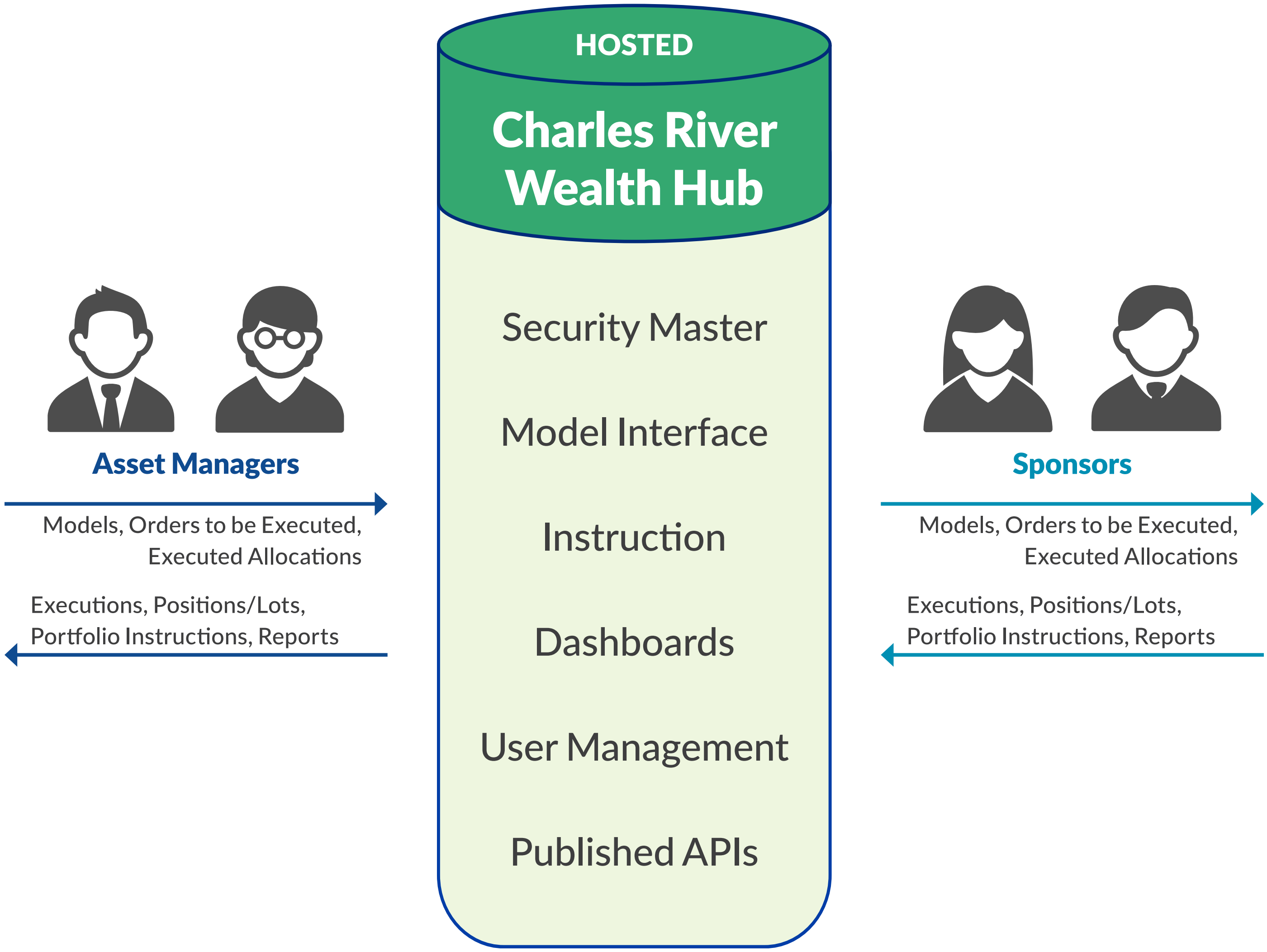
Order/Executed Allocation Handling enables communication of open orders and executed allocations to sponsors with an order and allocation dashboard to review status changes and execution information.

Beginning of Day (BOD) Positions/Tax Lots and Client Restrictions supports receipt of reconciled BOD positions and tax lots from sponsors and retrieval of client restrictions.

Catalog Management Tools allow for the creation and maintenance of model strategies and product catalogs along with summary receipts of connection requests sent by individual sponsors.

Management Tools create and maintain users, reset passwords, assign, modify, or revoke user entitlements.

Charles River Wealth Hub streamlines the communication of orders, allocations, model updates, intra-day cash and account instructions, and Beginning of Day (BOD) positions between Asset Managers and Sponsors.



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CHARLES RIVER DEVELOPMENT, A STATE STREET COMPANY

Charles River enables sound and efficient investing across all asset classes. Investment firms in more than 40 countries use Charles River IMS to manage more than US$25 Trillion in assets in the institutional investment, wealth management and hedge fund industries. Our Software as a Service-based solution (SaaS) is designed to automate and simplify investment management on a single platform – from portfolio management and risk analytics through trading and post-trade settlement, with integrated compliance and managed data throughout. Headquartered in Burlington, Massachusetts, we support clients globally with more than 750 employees in 11 regional offices.

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