

# Charles River Wealth Hub



A State Street Company

## Consolidating Manager/Sponsor Communication on a Single Platform

Communication between asset management firms and wealth managers and sponsors can be challenging. With few integrated, industry-standard communication platforms, industry participants find themselves saddled with numerous systems and multiple workflows for communicating model updates, trades/allocations, and intra-day account instructions.

The Charles River Wealth Hub is a multi-tenant, API driven, cloud-based communication platform built specifically for separate account managers to streamline sponsor communications across their business. Rather than utilizing multiple systems and interfaces to operate their separate account strategies, managers can access one platform for all communications with their distribution partners.

### Key Capabilities & Benefits:

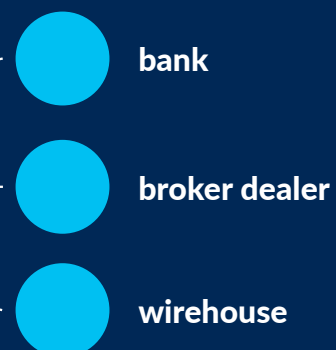
- Enables automated and streamlined communication between managers and sponsors
- Consolidated communication dashboards
- Secure, single click distribution of model updates to all applicable sponsors
- Can replace email exchanges and provides more reliable, secure and auditable transmission of data
- Helps eliminate low-value, error-prone manual workflows
- Supports model management, account requests, order workflows, document transfer and receiving daily transactions, positions and tax lot data from sponsors

## Supporting Communication, Distribution, & Operations

### asset managers



### sponsors



# Charles River Wealth Hub Features

Available as part of Charles River's enterprise wealth platform, the Charles River Wealth Hub helps connect asset managers and sponsors in to support critical operational interactions.



**Model Management** facilitates the creation, maintenance, administration, and distribution of models along with support of model history, versioning and comparisons, and simultaneous publishing of models to multiple sponsors.



**Request Handling** provides support for cash invest/raise, harvest, security transfers, client restrictions, trade halt/resume, account opening/termination requests and request retrieval and status updates, as well as custom and sponsor-specific requests.



**Order/Executed Allocation Handling** enables communication of open orders and executed allocations to sponsors with an order and allocation dashboard to review status changes and execution information.



**Beginning of Day (BOD) Transactions/Positions/Tax Lots** supports receipt of reconciled BOD positions, tax lots and transactions from sponsors to support shadow accounting system maintenance and operations.



**Catalog Management Tools** allow for the creation and maintenance of model strategies and product catalogs along with summary receipts of connection requests sent by individual sponsors.



**Document Management** allows for two-way end to end encrypted document transfer between sponsors and managers



**Management Tools** create and maintain users, reset passwords, assign, modify, or revoke user entitlements.

# Charles River Development, A State Street Company

Investment firms, asset owners, wealth managers, hedge funds and insurers in more than 30 countries rely on Charles River's front and middle office investment management platform to manage more than US\$29 Trillion in assets. Together with State Street's middle and back office capabilities, Charles River's cloud-deployed software technology forms the foundation of State Street Alpha<sup>SM</sup>. The Charles River Investment Management Solution (Charles River IMS) is designed to automate and simplify the institutional investment process across asset classes, from portfolio management and risk analytics through trading and post-trade settlement, with integrated compliance and managed data throughout. Charles River's growing partner ecosystem enables clients to seamlessly access external data and analytics, applications and liquidity venues that support the demands of their product and asset class mix. Headquartered in Burlington, Massachusetts, we serve clients globally with more than 1,000 employees in 11 regional offices.

(Statistics as of April 2020)

Learn more at [crd.com/wealth](https://crd.com/wealth)

Charles River Development - A State Street Company is a wholly owned business of State Street Corporation (incorporated in Massachusetts).

This document and information herein (together, the "Content") is subject to change without notice based on market and other conditions and may not reflect the views of State Street Corporation and its subsidiaries and affiliates ("State Street"). The Content is provided only for general informational, illustrative, and/or marketing purposes, or in connection with exploratory conversations; it does not take into account any client or prospect's particular investment or other financial objectives or strategies, nor any client's legal, regulatory, tax or accounting status, nor does it purport to be comprehensive or intended to replace the exercise of a client or prospect's own careful independent review regarding any corresponding investment or other financial decision. The Content does not constitute investment research or legal, regulatory, investment, tax or accounting advice and is not an offer or solicitation to buy or sell securities or any other product, nor is it intended to constitute any binding contractual arrangement or commitment by State Street of any kind. The Content provided was prepared and obtained from sources believed to be reliable at the time of preparation, however it is provided "as-is" and State Street makes no guarantee, representation, or warranty of any kind including, without limitation, as to its accuracy, suitability, timeliness, merchantability, fitness for a particular purpose, non-infringement of third-party rights, or otherwise. State Street disclaims all liability, whether arising in contract, tort or otherwise, for any claims, losses, liabilities, damages (including direct, indirect, special or consequential), expenses or costs arising from or connected with the Content. The Content is not intended for retail clients or for distribution to, and may not be relied upon by, any person or entity in any jurisdiction or country where such distribution or use would be contrary to applicable law or regulation. The Content provided may contain certain statements that could be deemed forward-looking statements; any such statements or forecasted information are not guarantees or reliable indicators for future performance and actual results or developments may differ materially from those depicted or projected. Past performance is no guarantee of future results. No permission is granted to reprint, sell, copy, distribute, or modify the Content in any form or by any means without the prior written consent of State Street.

The offer or sale of any of these products and services in your jurisdiction is subject to the receipt by State Street of such internal and external approvals as it deems necessary in its sole discretion. Please contact your sales representative for further information.

©2020 STATE STREET CORPORATION